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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Zhonghua Lu et al. Art Unit: 1746

Serial No.: 09/845,178 Examiner: Monique Wills

Filed : April 27, 2001

Title : IMPROVED CATHODE COMPOSITIONS FOR LITHIUM BATTERIES

MAIL STOP PETITIONS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Prior to examination, please amend the application as indicated on the following pages.

CERTIFICATE OF DELIVERY BY HAND

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